

AF/DEFW  
PATENT

Attorney Docket No. ASA 02-3-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : A.L. Pepper Aasgaard  
Serial Number : 10/718,177  
Filed : November 20, 2003  
Group Art Unit : 2859  
Examiner : Cohen, Amy R.  
Title : EMERGENCY SIGNALING DEVICE

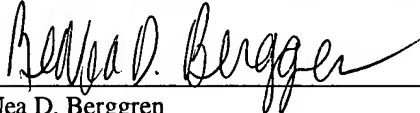
MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
AFTER MAILING DATE OF FINAL ACTION OR NOTICE OF ALLOWANCE  
BUT BEFORE PAYMENT OF ISSUE FEE (37 C.F.R. §1.97(d))**

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service on July 19, 2005, in a First Class envelope, with sufficient postage thereon, addressed to: MS AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
ReNea D. Berggren

DATED: July 19, 2005

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

1. The Information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

**STATEMENT, PETITION AND FEE**

2. In accordance with the requirements of 37 C.F.R. § 1.97(d):
  - A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. § 1.97 (e).
  - B. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. § 1.97(d)(2).
  - C. Applicant submits the petition fee set forth in § 1.17(p) (\$180.00).

**FEE DUE**

3. Petition fee due (§ 1.17(p)): \$180.00.

**METHOD OF PAYMENT OF FEE**

Please charge the filing fee of **\$180.00** to Deposit Account N° 19-4882. Please charge any underpayments related to this *Transmittal* or credit any excess to Deposit Account N° 19-4882. A duplicate copy of this *Transmittal* is enclosed for accounting purposes only.

Please address all correspondence to:

**CUSTOMER NO. 23531**

SUITER • WEST • SWANTZ PC LLO  
14301 FNB Parkway, Suite 220  
Omaha, NE 68154-5299  
(402) 496-0300 (Telephone)  
(402) 496-0333 (Telecopier)

DATED: July 19, 2005.

Respectfully submitted,

A.L. Pepper Aasgaard,

By 

Kevin E. West  
Reg. N° 43,983



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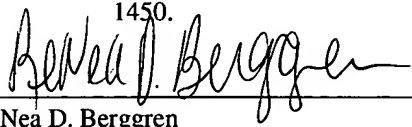
MS AF  
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**STATEMENT FOR INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(e)**

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ReNea D. Berggren

DATED: July 19, 2005

**IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT  
FOR WHICH THIS STATEMENT IS BEING MADE**

1. This statement is being made for the Information Disclosure Statement
- ☒ accompanying this statement.
- ☐ filed \_\_\_\_\_  
Date

**STATEMENT**

2. I, the person(s) signing below state:
- ☒ that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

**OR**

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(2).

Please address all correspondence to:

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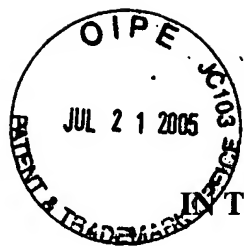
DATED: July 19, 2005.

Respectfully submitted,  
A.L. Pepper Aasgaard,

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Kevin E. West

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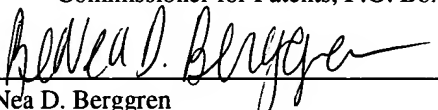
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**INFORMATION DISCLOSURE STATEMENT**

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ReNea D. Berggren

DATED: July 19, 2005

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. § 1.97(c)(1) & 37 C.F.R. § 1.97(e)(1) Applicant states: That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

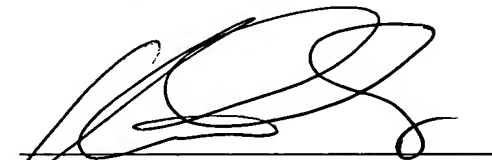
The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

DATED: July 19, 2005.

Respectfully submitted,

A.L. Pepper Aasgaard,

By:

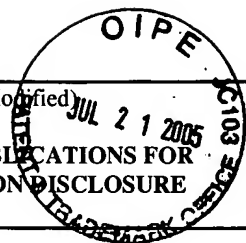
A handwritten signature in black ink, appearing to read 'Kevin E. West', is written over a horizontal line.

Kevin E. West  
Reg. No. 43,983

**CUSTOMER NO. 23531**

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Facsimile: (402)496-0333

In Place of FORM PTO-1449 (Modified)



Serial No.: 10/718,177  
 Applicant: A.L. Pepper Aasgaard  
 Filing Date: November 20, 2003  
 Group: 2859  
 Atty. Docket No.: ASA 02-3-2

**LIST OF PATENTS AND PUBLICATIONS FOR  
 APPLICANT'S INFORMATION DISCLOSURE  
 STATEMENT**

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
___AAA	6,520,669	02/18/03	Chen et al.	362	545	06/19/00
___ABA	2,125,488	08/02/38	Colie	40	23	07/13/37
___ACA						
___ADA						
___AEA						
___AFA						
___AGA						
___AHA						
___AIA						
___AJA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
___AKA						
___ALA						
___AMA						
___ANA						
___AOA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial  
 \_\_\_APA  
 \_\_\_AQA  
 \_\_\_ARA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether nor not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.